

PTO/SB/30 (01-03)

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# Request For Continued Examination (RCE) Transmittal

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/681,643
Filing Date	May 15, 2001
First Named Inventor	Takatoshi Tsujimura
Art Unit	2823
Examiner Name	William D. Coleman
Attorney Docket Number	JP920000112US1

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 CFR 1.114

a. ☒ Previously submitted

i. ☒ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on 4/4/03  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)

b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

a. ☒ Deposit Account No. 09-0468

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Derek S. Jennings	Registration No. (Attorney/Agent)	41,473
Signature	<i>Derek S. Jennings</i>	Date	4/30/03

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Derek S. Jennings	Date	4/30/03
Signature	<i>Derek S. Jennings</i>		

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IBM Docket No. JP920000112US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Applicants:

Tsujiura et al.

Serial No.: 09/681,643

Filed: May 15, 2001

Date: April 30, 2003

Group Art Unit: 2823

Examiner: Coleman

Docket No.: JP920000112US1

For: **METHOD AND APPARATUS FOR MANUFACTURING ACTIVE  
MATRIX DEVICE INCLUDING TOP GATE TYPE TFT**

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APR 30 2003

Assistant Commissioner for Patents  
Washington, D. C. 20231

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## CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (<sup>7</sup> ~~6~~ pages) <sup>RCE + remarks</sup> is being facsimile transmitted under Rule 37 <sup>113</sup> 4/30/03  
CFR 1.6(d) to the U.S. Patent and Trademark Office to (703) 872-9318 on April 30,  
2003.

  
Derek S. Jennings  
Registered Patent Agent / Patent Engineer  
Reg. No. 41,473

RESPONSE TO THE OUTSTANDING OFFICE ACTION

## REMARKS

In response to the Advisory Action dated April 10, 2003 and the Final Office Action dated February 4, 2003, applicants hereby files a Request for Continued Examination and offer the following remarks addressing the outstanding merits of the present application.

Serial No. 09/681,643

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